

03-19-01

PTO/SB/05 (4/98)

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. OMB 0651-0032  
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03/16/01**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. D-4062

First Inventor or Application Identifier Gwo Shin Swei

Title Perforated Sanding Disc

Express Mail Label No. EL524175314US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 8]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
4. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

CERTIFICATION UNDER 37 CFR 1.16-1

7. I hereby certify that this New Application Transmittal and the documents enclosed  
to as enclosed therein are being deposited with the United States Patent Office  
on this date March 16, 2001

in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number  
EL524175314US

addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Ruth M. Swan

(Type or print name of person mailing paper)

(Signature of person mailing paper)  
Ruth M. Swan

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application,  
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

Norton Company

Address

1 New Bond Street, P. O. Box 15138

City

Worcester

State

Massachusetts

Zip Code

01615-0138

Country

U.S.A.

Telephone

508 795-2466

Fax

(508) 795-2653

Name (Print/Type)

David Bennett

Registration No. (Attorney/Agent)

28,821

Signature

Date

March 16, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any  
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office,  
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Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB109-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 710

Complete if Known

Application Number  
Filing Date  
First Named Inventor Gwo Shin Swei  
Examiner Name  
Group / Art Unit  
Attorney Docket No. D-4062

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

14-1400

Deposit  
Account  
Name

NORTON COMPANY

- ☒ Charge Any Additional Fee Required  
Under 37CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Description	Fee Paid
Code (\$)	Code (\$)						
101 690 201 345						Utility filing fee	710
106 310 206 155						Design filing fee	
107 480 207 240						Plant filing fee	
108 690 208 345						Reissue filing fee	
114 150 214 75						Provisional filing fee	

SUBTOTAL (1) (\$ 710

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
7	-20- = 0	0	0
Independent Claims	1	-3- = 0	0
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Description
Code (\$)	Code (\$)					
103 18 203 9						Claims in excess of 20
102 78 202 39						Independent claims in excess of 3
104 260 204 130						Multiple dependent claim, if not paid
109 78 209 39						Reissue independent claims over original patent
110 18 210 9						Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Description	Fee Paid
Code (\$)	Code (\$)						
105 130 205 65						Surcharge - late filing fee or oath	
127 50 227 25						Surcharge - late provisional filing fee or cover sheet	
139 130 139 130						Non-English specification	
147 2,520 147 2,520						For filing a request for reexamination	
112 920* 112 920*						Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*						Requesting publication of SIR after Examiner action	
115 110 215 55						Extension for reply within first month	
116 380 216 190						Extension for reply within second month	
117 870 217 435						Extension for reply within third month	
118 1,360 218 680						Extension for reply within fourth month	
128 1,850 228 925						Extension for reply within fifth month	
119 300 219 150						Notice of Appeal	
120 300 220 150						Filing a brief in support of an appeal	
121 260 221 130						Request for oral hearing	
138 1,510 138 1,510						Petition to institute a public use proceeding	
140 110 240 55						Petition to revive - unavoidable	
141 1,210 241 605						Petition to revive - unintentional	
142 1,210 242 605						Utility issue fee (or reissue)	
143 430 243 215						Design issue fee	
144 580 244 290						Plant issue fee	
122 130 122 130						Petitions to the Commissioner	
123 50 123 50						Petitions related to provisional applications	
126 240 126 240						Submission of Information Disclosure Stmt	
581 40 581 40						Recording each patent assignment per property (times number of properties)	
146 690 246 345						Filing a submission after final rejection (37 CFR § 1.1 29(a))	
149 690 249 345						For each additional invention to be examined (37 CFR § 1.1 29(b))	
Other fee (specify) _____							
Other fee (specify) _____							
- Reduced by Basic Filing Fee Paid							
SUBTOTAL (3)							(\$ 0.00

## SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone	Date
David Bennett	28,821	508 795-2466	March 16, 2001
Signature			

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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